# SEC Form 4

# FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

OMB	APPROVAL

OMB Number: 3235-0287 Estimated average burden hours per response: 0.5

I I Name and Address of Reporting Feison			2. Issuer Name and Ticker or Trading Symbol <u>PDF SOLUTIONS INC</u> [ PDFS ]		5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
MICHAE	LS KINON		L	X	Director	10% Owner			
(Last)	(First)	(Middle)	3. Date of Earliest Transaction (Month/Day/Year)	- x	Officer (give title below)	Other (specify below)			
2858 DE LA	CRUZ BLVD.		07/01/2021		EVP of Products a	nd Solutions			
(Street) SANTA		05050	4. If Amendment, Date of Original Filed (Month/Day/Year)	Line)	vidual or Joint/Group Fili				
CLARA	CA	95050		X	Form filed by One Re	porting Person			
y			—		Form filed by More th Person	an One Reporting			
(City)	(State)	(Zip)							

### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

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1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8) 4. Securit Disposed 5)			Acquired (D) (Insti	d (A) or r. 3, 4 and	5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Common Stock	07/01/2021		A <sup>(1)</sup>		<b>6,998</b> <sup>(1)</sup>	A	(1)	118,742	Ι	By Spouse
Common Stock	07/01/2021		F <sup>(2)</sup>		82 <sup>(2)</sup>	D	\$18.14	118,660	I	By Spouse
Common Stock								1,466,959	D	

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		of Deriv Secu Acqu (A) of Dispo of (D) (Instr	of Expiration Date (Month/Day/Year) Securities Acquired (A) or Disposed		7. Titl Amou Secur Unde Deriv Secur 3 and	int of rities rlying ative rity (Instr.	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. These Shares represent and award of restricted stock units (Total Shares). 12.5% of the Total Shares shall vest on the date that is six (6) month after the Vesting Start Date and 12.5% of the Total Shares shall vest on each date that is six (6) months thereafter until fully vested, subject to the Recipient's continued Service through each applicable vesting date.

2. This represents a disposition of shares to the Company to satisfy tax withholding obligations with respect to the vesting of restricted stock units. This is not a sale of shares in the market.

### /s/Kimon Michaels

\*\* Signature of Reporting Person Date

07/02/2021

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.